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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/662,337

09/16/2003

Keiko Shiraishi

117194

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7590

07/21/2008

OLIFF & BERRIDGE, PLC

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EXAMINER

PATEL, MANGLESH M

ART UNIT

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2178

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/662,337	<b>Applicant(s)</b> SHIRAISHI ET AL.	
	<b>Examiner</b> MANGLESH M. PATEL	<b>Art Unit</b> 2178	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 17 April 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>5/1/08</u> .  | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION**

1. This **Non-Final** action is responsive to the RCE filed on 4/17/2008 and the IDS filed 5/1/2008.
2. Claims 1-17 are pending. Claims 1, 10, 11, 12, 13, 16 and 17 are the independent claims.

**Withdrawn Rejections**

3. The 35 U.S.C. 102(e) rejection of claims 1-17 with cited reference of Wu (U.S. Pub 2004/0001217) has been withdrawn in light of the amendment.

**Information Disclosure Statement**

4. The information disclosure statement (IDS) submitted on 5/1/2008 has been entered, and considered by the examiner.

**Claim Rejections - 35 USC § 102**

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

6. Claims 1-17 are rejected under 35 U.S.C. 102(a) as being anticipated by Tatsuo (EP 1 286 254 A2, published Feb 26, 2003).

**Regarding Independent claims 1, 10, 13 and 16,** An instruction form retrieval apparatus comprising: A storage part that stores user information and information on an instruction form management apparatus that is connected to the instruction form retrieval apparatus via a network and is holding an at least one selectable instruction form associated with a user based on user access rights, wherein the instruction form is generated in advance, the instruction form and the user information being associated with each other wherein the instruction form includes instructions and indicates a plurality of processing to be executed by a plurality of instruction form execution apparatuses, and is different than any file to be processed by the instructions; A reception part that receives information on a user; A retrieval part that retrieves the instruction form accessible to the user from the instruction form management apparatus based on the received information on the user. An output part that outputs identification information on the retrieved instruction form to allow the user to instruct performing a process indicated in the instruction form to one or more instruction form execution apparatuses connected to the instruction form retrieval apparatus via the network.

Tatsuo teaches accessing a web server through a network by allowing the user to access an interface and selecting functions that are associated with predetermined processes for processing a plurality of execution apparatuses such as faxing, scanning, copying, printing etc, see fig 1 & 12 & paragraphs 5-8. Furthermore the network holds a user selectable instruction form described in XML for operating the execution apparatuses (paragraphs 121-125 & 157-170). Tatsuo further describes support for other hardware resources that are external and connected to the MF-apparatus (see fig 1).

**Regarding Dependent claim 2**, with dependency of claim 1, Tatsuo discloses wherein the output part outputs the Identification information on the retrieved instruction form to the instruction form execution apparatus used by the user (See abstract & see fig 1 & 12 & paragraphs 5-8 & 121-125 & 157-170, including the explanation provided in the independent claims).

**Regarding Dependent claim 3**, with dependency of claim 1, Tatsuo discloses wherein the output part outputs the Identification information on the instruction form to a terminal used by the user (See abstract & see fig 1 & 12 & paragraphs 5-8 & 121-125 & 157-170, including the explanation provided in the independent claims).

**Regarding Dependent claim 4**, with dependency of claim 1, Tatsuo discloses wherein the output part outputs a command to the instruction form management apparatus to transmit the instruction form accessible to the user to the instruction form execution apparatus used by the user, based on the Identification information on the retrieved instruction form (See abstract & see fig 1 & 12 & paragraphs 5-8 & 121-125 & 157-170, including the explanation provided in the independent claims).

**Regarding Dependent claim 5**, with dependency of claim 1, Tatsuo discloses wherein the output part outputs a command to the instruction form management apparatus to transmit the instruction form accessible to the user to a terminal used by the user, based on the Identification information on the retrieved instruction form (See abstract & see fig 1 & 12 & paragraphs 5-8 & 121-125 & 157-170, including the explanation provided in the independent claims).

**Regarding Dependent claim 6**, with dependency of claim 1, Tatsuo discloses wherein the outputted Identification information on the retrieved instruction form is information on the instruction form management apparatus holding the

instruction form (See abstract & see fig 1 & 12 & paragraphs 5-8 & 121-125 & 157-170, including the explanation provided in the independent claims).

**Regarding Dependent claim 7**, with dependency of claim 1, Tatsuo discloses wherein the outputted Identification information on the retrieved instruction form includes information to identify the instruction form (See abstract & see fig 1 & 12 & paragraphs 5-8 & 121-125 & 157-170, including the explanation provided in the independent claims).

**Regarding Dependent claim 8**, with dependency of claim 1, Tatsuo discloses wherein user authentication is performed using the information on the user (See abstract & see fig 1 & 12 & paragraphs 5-8 & 121-125 & 157-170, including the explanation provided in the independent claims).

**Regarding Dependent claim 9**, with dependency of claim 1, Tatsuo discloses a display information generation part that generates information to display the information on the retrieved instruction form (See abstract & see fig 1 & 12 & paragraphs 5-8 & 121-125 & 157-170, including the explanation provided in the independent claims).

**Regarding Independent claims 11 and 12**, An instruction form execution apparatus comprising: an attachment part that attaches a portable storage , medium which is unique to and capable of being carried by a predetermined user, the storage medium holding information on a plurality of instruction form management apparatuses holding at least one selectable instruction form associated with the user based on user access rights, wherein the instruction form is generated in advance, wherein the instruction form includes instructions and indicates a plurality of processing to be executed by a plurality of instruction form execution apparatuses and is different than any file to be processed by the instructions; and an execution part that executes a plurality of processing instructions indicated in the instruction form, wherein the at least one selectable instruction form is located on at least one server.

Tatsuo teaches accessing a web server through a network by allowing the user to access an interface and selecting functions that are associated with predetermined processes for processing a plurality of execution apparatuses such as faxing, scanning, copying, printing etc, see fig 1 & 12 & paragraphs 5-8. Furthermore the network holds a user selectable instruction form described in XML for operating the execution apparatuses (paragraphs 121-125 & 157-170). Tatsuo further describes support for other hardware resources that are external and connected to the MF-apparatus (see fig 1).

**Regarding Dependent claim 14**, with dependency of claim 13, Tatsuo discloses wherein the information on the retrieved instruction form is outputted to the instruction form execution apparatus used by the user (See abstract & see fig 1 & 12 & paragraphs 5-8 & 121-125 & 157-170, including the explanation provided in the independent claims).

**Regarding Dependent claim 15**, with dependency of claim 13, Tatsuo discloses outputting a command to the instruction form management apparatus to transmit the instruction form accessible to the user to the instruction form execution apparatus used by the user, based on the information on the retrieved instruction form (See abstract & see fig 1 & 12 & paragraphs 5-8 & 121-125 & 157-170, including the explanation provided in the independent claims).

**Regarding Independent claim 17**, An instruction form execution apparatus comprising: an attachment part that attaches a portable storage medium capable of being carried by a user that stores at least one selectable instruction form that includes processing instructions associated with the user based on user access rights, wherein the instruction form is generated in advance, wherein the instruction form indicates a plurality of processing to be executed by a plurality of instruction form execution apparatuses and is different than any file to be processed by the processing instructions; and a processing part that executes the processing instructions based on the instruction form to process information not stored in the storage medium.

Tatsuo teaches accessing a web server through a network by allowing the user to access an interface and selecting functions that are associated with predetermined processes for processing a plurality of execution apparatuses such as faxing, scanning, copying, printing etc, see fig 1 & 12 & paragraphs 5-8. Furthermore the network holds a user selectable instruction form described in XML for operating the execution apparatuses (paragraphs 121-125 & 157-170). Tatsuo further describes support for other hardware resources that are external and connected to the MF-apparatus (see fig 1).

**It is noted that any citation [[s]] to specific, pages, columns, lines, or figures in the prior art references and any interpretation of the references should not be considered to be limiting in any way. A reference is relevant for all it contains and may be relied upon for all that it would have reasonably suggested to one having ordinary skill in the art. [[See, MPEP 2123]]**

**Response to Arguments**

7. Applicant's arguments filed 4/17/2008 have been fully considered but are moot in view of the new ground of rejection.

**Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Manglesh M. Patel whose telephone number is (571) 272-5937. The examiner can normally be reached on M, W 6 am-3 pm T, TH 6 am-2pm, Fr 9am-6pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen S. Hong can be reached on (571) 272-4124. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Manglesh M. Patel  
Patent Examiner (AU 2178)  
July 3, 2008

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Examiner, Art Unit 2178

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Primary Examiner, Art Unit 2178

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